

Department of Education and Early Development Division of Libraries, Archives & Museums Alaska State Archives/Records & Information Management Service (ASA/RIMS) P.O. Box 110525, 141 Willoughby Avenue

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## STATE OF ALASKA

## **Records Retention and Disposition Schedule**

Agency I.D: 53 Schedule No: 07-53.2

DEPARTMENT OF LABOR AND WORKFORCE DEVELOPMENT

07 - DEPARTMENT OF LABOR AND WORKFORCE DEVELOPMENT

53 - ALASKA LABOR RELATIONS AGENCY

The agency will follow retention periods for common records as listed in the most current State of Alaska General Administrative Records Retention and Disposition Schedule (GARRDS), unless those records have been listed on this schedule.

Unless otherwise indicated all records series are nonconfidential. This schedule supersedes #07-53.1.

All records that have potential permanent legal and historical value may be reviewed by the State Archivist for possible permanent retention in the State Archives in accordance with AS 40.21.030.

Under 4 AAC 59.005, it is the responsibility of agencies to ensure that records created and maintained in electronic systems remain accessible and durable for their prescribed retention period. This requires addressing the issues of periodic media refreshment, digital migration strategies and security plans. Backups produced for system recovery purposes do not serve a recordkeeping function or substitute for archived business essential duplicates.

Pursuant to the provisions of AS 40.21 ardisposition as indicated.	nd 4 AAC 59, the rec	ords listed on this schedule are approved f	or retention and
Division Director	Signature	of Division Director	Date
Mark Torgerson	ma		9/5/44
Alan Binsann	Date 9/25/14	Commissioner of Administration/Designee	Date 9/17/14
State Archivist  D. Daw 82	9/17/14	Records Analyst Rose	9/17/14
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RRDS Continuation Agency I.D: 53	Sch	edule No:	07-5	3.2 Page 2 of 6
Item No - Record Series Title & Description	Format	Total Retention	Bus. Ess.	Remarks
O01.1 - Unfair Labor Practices Case Files This series consists of the complaint, correspondence, notice of hearing, hearing transcripts and exhibits, witness lists, and a copy of the final decision and order. Also includes cases dismissed, withdrawn or settled.	Н	C+3		C = Until case is closed and all issues are resolved.
Arranged by case file number.	1			Constant DA
O01.2 - Unfair Labor Practices Case Files of Historical Importance This series consists of the complaint, correspondence, notice of hearing, hearing transcripts and exhibits, witness lists, and a copy of the final decision and order. Also includes cases dismissed, withdrawn or settled.  Arranged by case file number.	H	PA		Cases the ALRA Administrator determines have long-term value and historical importance are identified in the ALRA Case Management Database and will be transferred to the State Archives.
002.1 - Representation Case Files	Н	C+3		C = Until case is closed and
This series consists of petitions for representation, correspondence, notices of petition, notices of election, memorandum of agreement for election, tally sheets, election certification, complaints, objections to petition, written briefs, pre-hearing pleadings (witness and exhibit lists), agency orders, hearing exhibits, memorandum decisions and a copy of the final decision and order.				all issues are resolved.  The names of persons expressing interest are confidential under 8 AAC 97.060(d).
Arranged by case file number.				
OO2.2 - Representation Case Files of Historical Importance This series consists of petitions for representation, correspondence, notices of petition, notices of election, memorandum of agreement for election, tally sheets, election certification, complaints, objections to petition, written briefs, pre-hearing pleadings (witness and exhibit lists), agency orders, hearing exhibits, memorandum decisions, and a copy of the final decision and order.  Arranged by case file number.	Н	PA		Cases the ALRA Administrator determines have long-term value and historical importance are identified in the ALRA Case Management Database and will be transferred to the State Archives.  The names of persons expressing interest are confidential under 8 AAC 97.060(d).

R	etention Key	Format Key	Bus. Ess = Business Essential
A = Until Audit C = Cut-off event/date CY = Current Year CFY = Current Fiscal Year PA = Permanent (Transfe	S = Until Scanned T = Transfer TO = Term of Office	E = Electronic D = Database	Are necessary for emergency response     Are necessary to resume or continue operations

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Item No - Record Series Title & Description	Format	Total Retention	Bus. Ess.	Remarks
O03.1 - Decertification Petitions  This series consists of petition for decertification, correspondence, notices of petition, notices of election, memorandum of agreement for elections, tally sheets, election certification, complaints, objections to petition, written briefs, pre-hearing pleadings (witness and exhibit lists), agency orders, hearing exhibits, memorandum decisions, and a copy of the final decision and order.  Arranged by case file number.	Н	C+3		C = Until case is closed and all issues are resolved.  The names of persons expressing interest are confidential under 8 AAC 97.060(d).
O03.2 - Decertification Petitions of Historical Importance This series consists of petition for decertification, correspondence, notices of petition, notices of election, memorandum of agreement for elections, tally sheets, election certification, complaints, objections to petition, written briefs, pre-hearing pleadings (witness and exhibit lists), agency orders, hearing exhibits, memorandum decisions, and a copy of the final decision and order.  Arranged by case file number.	Н	PA		Petitions the ALRA Administrator determines have long-term value and historical importance are identified in the ALRA Case Management Database and will be transferred to the State Archives.  The names of persons expressing interest are confidential under 8 AAC 97.060(d).
OO4 - Election Voting Materials  This series consists of signed interest cards, employee lists, envelopes and certified mail receipts, absentee, blank and voted ballots.	H	C+1		C = Until election is certified.  The names of persons expressing interest are confidential under 8 AAC 97.060(d).
O05.1 - Unit Clarification or Amendment Petition Case Files This series consists of petitions, backup documentation, correspondence, written briefs, hearing exhibits, witness lists and a copy of the final order and decision.  Arranged by case file number.	Н	C+3		C = Until case is closed and all issues are resolved.

Retention Key	1	Format Key	Bus. Ess = Business Essential
A = Until Audit PO = Perma C = Cut-off event/date S = Until So CY = Current Year T = Transfe CFY = Current Fiscal Year TO = Term PA = Permanent (Transfer to State Arc	canned r of Office	E = Electronic D = Database	Are necessary for emergency response     Are necessary to resume or continue operations

RRDS Continuation Agency I.D: 53	Sch	edule No:	07-5	3.2 Page 4 of 6
Item No - Record Series Title & Description	Format	Total Retention	Bus. Ess.	Remarks
O05.2 - Unit Clarification or Amendment Petition Case Files of Historical Importance This series consists of petitions, backup documentation, correspondence, written briefs, hearing exhibits, witness lists and a copy of the final order and decision.  Arranged by case file number.	Н	PA		Cases the ALRA Administrator determines have long-term value and historical importance are Identified in the ALRA Case Management Database and will be transferred to the State Archives.
O06 - ALRA Orders and Decisions (Master Set) This series consists of copies of all decisions and orders issued by the Alaska Labor Relations Agency (ALRA). The ALRA administers the Public Employment Relations Act which regulates labor relations between public employees and public employers. These employers include the state government, municipalities, boroughs, and school districts in Alaska.  Arranged by decision and order number.	H&E	PO	Y	Hard copy is retained in office for reference use.  An electronic copy of the orders and decisions are maintained on the departmental server and online athttp://labor.alaska.gov/laborr/dosearch.htm.
O07.1 - Petition to Enforce Collective Bargaining Agreement This series consists of petitions, answer, correspondence, notices of hearings, written briefs, pre-hearing pleadings (witness and exhibit lists), agency orders, hearing exhibits, memorandum decisions, and a copy of the final decision and order.  Arranged by case file number.	Н	C+3		C = Until case is closed and all issues are resolved.
O07.2 - Petition to Enforce Collective Bargaining Agreements of Historical Importance This series consists of petitions, answer, correspondence, notices of hearings, written briefs, pre-hearing pleadings (witness and exhibit lists), agency orders, hearing exhibits, memorandum decisions, and a copy of the final decision and order.  Arranged by case file number.	Н	PA		Petitions the ALRA Administrator determines have long-term value and historical importance are identified in the ALRA Case Management Database and will be transferred to the State Archives.

Rete	ention Key	Format Key	Bus. Ess = Business Essential
C = Cut-off event/date S	= Until Scanned = Transfer O = Term of Office	E = Electronic D = Database	Are necessary for emergency response     Are necessary to resume or continue operations

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Item No - Record Series Title & Description	Forma	Total Retention	Bus. Ess.	Remarks
O08.1 - Religious Exemption Claims This series consists of application for exemp correspondence, objection, written briefs, pre-hearing pleadings (witness and exhibit I agency orders, hearing exhibits, memorand decisions, and a copy of the final decision arorder.	ists), um	C+3		C = Until case is closed and all issues are resolved.
Arranged by case file number.			<u>.                                    </u>	
O08.2 - Religious Exemption Claims of Historical Importance This series consists of application for exemp correspondence, objection, written briefs, pre-hearing pleadings (witness and exhibit I agency orders, hearing exhibits, memorando decisions, and a copy of the final decision ar order.	ists), um	PA		Claims the ALRA Administrator determines have long-term value and historical importance are identified in the ALRA Case Management Database and will be transferred to the State Archives.
Arranged by case file number.				
O09.1 - Strike Class Determination Petit Cases  This series consists of petition for strike class answers, correspondence, written briefs, pre-hearing pleadings (witness and exhibit liagency orders, hearing exhibits, memorando decisions, and a copy of the final decision arorder.  Arranged by case file number.	sts), um	C+3		C = Until case is closed and all issues are resolved.
009.2 - Strike Class Determination Petit	ion H	PA		Cases the ALRA
Cases of Historical Importance This series consists of petition for strike clas answers, correspondence, written briefs, pre-hearing pleadings (witness and exhibit li agency orders, hearing exhibits, memoranda decisions, and a copy of the final decision ar order.  Arranged by case file number.	sts),			Administrator determines have long-term value and historical importance are identified in the ALRA Case Management Database and will be transferred to the State Archives.

Rete	ention Key	Format Key	Bus. Ess = Business Essential
C = Cut-off event/date S	O = Term of Office	E = Electronic D = Database	Are necessary for emergency response     Are necessary to resume or continue operations

RRDS Continuation Agency I.D: 53	Sch	edule No:	07-5	3.2 Page 6 of 6
Item No - Record Series Title & Description	Format	Total Retention	Bus. Ess.	Remarks
O10 - ALRA Case Management Database This Access database tracks the agency caseload, provides case status and generates simple statistical reports. It allows the user to check the index to see if a case has been filed in the past, is pending/closed and in storage/archived. It also allows a user to check to see if a case has been set for prehearing or hearing, if a decision and order has been issued, or if the case has been appealed to superior/supreme court. Queries and reports can also be generated listing agency case files that are ready for archiving or destruction per the record retention schedule.	D	С	Υ	C = Until obsolete, superseded or administrative need is met.  Information contained in this database is available in each public case file in paper format.
O11 - Legal Digest This database serves as an index to cases and contains synopsis of board orders and decisions. Searches may be made by case name, decision and order number, or query language. Board decision and order digests can also be viewed by year issued.	D	PO	Y .	Information contained in this database is also available in each case file in paper format (see item #006).

Retention Key	Format Key	Bus. Ess = Business Essential
A = Until Audit PO = Permanent (Retain in agency office) C = Cut-off event/date S = Until Scanned CY = Current Year T = Transfer CFY = Current Fiscal Year TO = Term of Office PA = Permanent (Transfer to State Archives)		Are necessary for emergency response     Are necessary to resume or continue operations